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NOTICE OF ALLOWANCE AND FEE(S) DUE

109 7590 03/19/2010

The Dow Chemical Company Intellectual Property Section P.O. Box 1967 Midland MI 48641-1967 EXAMINER
MCCAIG, BRIAN A

PAPER NUMBER

ART UNIT

Idiano, MT 48641-1967 DATE MAILED: 03/19/2010

 APPLICATION NO.
 FILING DATE
 FIRST NAMED INVENTOR
 ATTORNIY DOCKET NO.
 CONFIRMATION NO.

 10/529/530
 03/29/2005
 Teresa Karjala
 62144B
 4556

TITLE OF INVENTION: LIQUID AND GEL-LIKE LOW MOLECULAR WEIGHT ETHYLENE POLYMERS

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1510	\$300	\$0	\$1810	06/21/2010

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 1SI. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FIEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

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The Dow Cher Intellectual Prop P.O. Box 1967	mical Company perty Section	V2010	11	Cer	tificate	e of Mailing or Trans	mission g deposited with the United st class mail in an envelope above, or being facsimile ate indicated below.
Midland, MI 486	541-1967						(Depositor's name)
							(Signature)
							(Date)
APPLICATION NO.	FILING DATE		FIRST NAMED INVENTO	R	ATTO	RNEY DOCKET NO.	CONFIRMATION NO.
10/529,530 TITLE OF INVENTION	03/29/2005 :: LIQUID AND GEL-LI	KE LOW MOLECULA	Teresa Karjala R WEIGHT ETHYLENE	POLYMERS		62144B	4556
APPLN, TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSU	E FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1510	\$300	\$0	\$0		06/21/2010
EXAM	IINER	ART UNIT	CLASS-SUBCLASS	7			
MCCAIG,	BRIAN A	1797	526-348000	_			
"Fee Address" ind PTO/SB/47; Rev 03-0 Number is required. 3. ASSIGNEE NAME A	ondence address (or Cha B/122) attached. ication (or "Fee Address 22 or more recent) attach ND RESIDENCE DATA	inge of Correspondence "Indication form led. Use of a Customer A TO BE PRINTED ON	2. For printing on the (1) the names of up to agents OR, alterna (2) the name of a sin registered attorney or 2 registered patent at listed, no name will b THE PATENT (print or to data will appear on the	to 3 registered pater tively, gle firm (having as a agent) and the nam corneys or agents. If e printed.	memb es of u no nan	per a 2p to 3	ocument has been filed for
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4a. The following fee(s) Issue Fee Publication Fee (N	vo small entity discount p		b. Payment of Fee(s): (Plo A check is enclosed. Payment by credit co The Director is hereloverpayment, to Dep	ard. Form PTO-2038	is atta	ached.	shown above) ficiency, or credit any n extra copy of this form).
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interest as shown by the	a Publication Fee (if req records of the United Sta	uired) will not be accepte ites Patent and Trademarl	ed from anyone other than k Office.	tne appucant; a reg	stered	attorney or agent; or th	ne assignee or other party in
Authorized Signature				Date			
Typed or printed name			Registration No.				
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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.		
10/529,530	03/29/2005	Teresa Karjala	62144B	4556		
109 7.	590 03/19/2010		EXAMINER			
The Dow Chemical Company			MCCAIG, BRIAN A			
Intellectual Property Section			ART UNIT	PAPER NUMBER		
P.O. Box 1967			1797			
Midland, MI 4864	1-1967	DATE MARKET DE CANCOLO				

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 805 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 805 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 (571)-272-4200.

Application No. Applicant(s) 10/529,530 KARJALA ET AL. Notice of Allowability Examiner Art Unit BRIAN MCCAIG 1797 -- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS. This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308. This communication is responsive to 11/30 and 12/17/2009. 2. The allowed claim(s) is/are 6, 18, and 25-40, renumbered as 1, 10, 2-9, and 11-18, respectively. 3. Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a) \square All b) ☐ Some* c) ☐ None of the: 1. T Certified copies of the priority documents have been received. 2. Certified copies of the priority documents have been received in Application No. 3. Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)). * Certified copies not received: _____. Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application. THIS THREE-MONTH PERIOD IS NOT EXTENDABLE. 4. A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient. CORRECTED DRAWINGS (as "replacement sheets") must be submitted. (a) Including changes required by the Notice of Draftsperson's Patent Drawing Review (PTO-948) attached 1) hereto or 2) to Paper No./Mail Date (b) including changes required by the attached Examiner's Amendment / Comment or in the Office action of Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d). 6. DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL. Attachment(s) 1. | Notice of References Cited (PTO-892) 5. Notice of Informal Patent Application 2. Notice of Draftperson's Patent Drawing Review (PTO-948) Interview Summary (PTO-413), Paper No./Mail Date 3. Information Disclosure Statements (PTO/SB/08), 7. X Examiner's Amendment/Comment Paper No./Mail Date

/ROBERT J. HILL. JR/

of Biological Material

Primary Examiner, Art Unit 1797

4. T Examiner's Comment Regarding Requirement for Deposit

8. X Examiner's Statement of Reasons for Allowance

9. ☐ Other

2/23/2010

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DETAILED ACTION

This Office action is based on the arguments filed November 30, 2009, for the 10/529530 application.

Oath/Declaration

The oath or declaration is defective. A new oath or declaration in compliance with 37 CFR 1.67(a) identifying this application by application number and filing date is required. See MPEP §§ 602.01 and 602.02.

The oath or declaration is defective because:

The specification to which the oath or declaration is directed has not been adequately identified. See MPEP § 602.

In particular, the title of the declaration does not match the title on the application as filed.

Specification

4. It is noted that this application appears to claim subject matter disclosed in prior Application No. 60/415595, filed October 2, 2002, and prior Application No. 60/424880, filed November 8, 2002. A reference to the prior application must be inserted as the first sentence(s) of the specification of this application or in an application data sheet (37 CFR 1.76), if applicant intends to rely on the filing date of the prior application under 35 U.S.C. 119(e), 120, 121, or 365(c). See 37 CFR 1.78(a). For benefit claims under 35 U.S.C. 120, 121, or 365(c), the reference must include the relationship (i.e., continuation, divisional, or continuation-in-part) of all nonprovisional applications. If the application is a utility or plant application filed under 35 U.S.C. 111(a) on or after November 29, 2000, the specific reference to the prior application must be submitted during the pendency of the application and within the later of four months from the actual filing date of the application or sixteen months from the filing date of the prior application. If the application is a utility or plant application which entered the national stage from an international application filed on or after November 29, 2000, after compliance with 35 U.S.C. 371, the specific reference must be submitted during the pendency of the application and within the later of four months from the date on which the national stage commenced under 35 U.S.C. 371(b) or (f) or sixteen months from the filing date of the prior application. See 37 CFR 1.78(a)(2)(ii) and (a)(5)(ii). This time period is not extendable and a failure to submit the reference required by 35

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U.S.C. 119(e) and/or 120, where applicable, within this time period is considered a waiver of any benefit of such prior application(s) under 35 U.S.C. 119(e), 120, 121 and 365(c). A benefit claim filed after the required time period may be accepted if it is accompanied by a grantable petition to accept an unintentionally delayed benefit claim under 35 U.S.C. 119(e), 120, 121 and 365(c). The petition must be accompanied by (1) the reference required by 35 U.S.C. 120 or 119(e) and 37 CFR 1.78(a)(2) or (a)(5) to the prior application (unless previously submitted), (2) a surcharge under 37 CFR 1.17(t), and (3) a statement that the entire delay between the date the claim was due under 37 CFR 1.78(a)(2) or (a)(5) and the date the claim was filed was unintentional. The Director may require additional information where there is a question whether the delay was unintentional. The petition should be addressed to:

Mail Stop Petition, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

If the reference to the prior application was previously submitted within the time period set forth in 37 CFR 1.78(a), but not in the first sentence(s) of the specification or an application data sheet (ADS) as required by 37 CFR 1.78(a) (e.g., if the reference was submitted in an oath or declaration or the application transmittal letter), and the information concerning the benefit daim was recognized by the Office as shown by its inclusion on the first filing receipt, the petition under 37 CFR 1.78(a) and the surcharge under 37 CFR 1.17(t) are not required. Applicant is still required to submit the reference in compliance with 37 CFR 1.78(a) by filing an amendment to the first sentence(s) of the specification or an ADS. See MPEP § 201.11.

It is also recommended that reference be made to the PCT application since it references the provisional applications listed above.

Response to Arguments

 Applicant's arguments, see pages 14-17, filed November 30, 2009, with respect to claims 6 and 18 have been fully considered and are persuasive. The rejection of claims 6 and 18 has been withdrawn.

EXAMINER'S AMENDMENT

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 An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

Authorization for this examiner's amendment was given in a telephone interview with Jane M. Terry on February 19, 2010.

The application has been amended as follows:

Please cancel claims 1-5, 7-17, and 19-24.

Please amend claims 6 and 18 as follows:

- 6. A process comprising reacting ethylene and at least one ethylenically unsaturated comonomer at a reaction temperature of at least 80°C, in the absence of hydrogen, and in the presence of a single site catalyst, to form a homogeneous substantially linear, liquid low molecular weight ethylene/alpha-olefin polymer having:
 - a) a number average molecular weight (Mn), as determined by gel permeation chromatography, of less than 15,000:
 - b) a comonomer content of greater than 15 mol percent;
 - c) a total crystallinity, as measured by DSC, of less than 10%;
 - d) a pour point, as measured by ASTM D97, of less than 50°C, and

wherein the polymer is prepared in the presence of a constrained geometry catalyst, of the Formula III:

$$R' - \bigvee^{(\mathsf{ER'2})_{\mathsf{IM}}} \mathsf{M} \bigvee^{\mathsf{N}} \mathsf{N} - R'$$

Formula III.

wherein:

M is titanium, zirconium or hafnium, bound in an η⁵ bonding mode to the cyclopentadienyl group;

R' each occurrence is independently selected from the group consisting of hydrogen, silyl, alkyl, aryl, and combinations thereof, having up to 10 carbon or silicon atoms;

E is silicon or carbon:

X independently each occurrence is hydride, halo, alkyl, aryl, aryloxy or alkoxy of up to 10 carbons; m is 1 or 2; and n is 1 or 2 depending on the valence of M.

- 18. A process comprising reacting ethylene and at least one ethylenically unsaturated comonomer, at a reaction temperature of at least 80°C, in the absence of hydrogen, and in the presence of a single site catalyst, to form a homogeneous substantially linear, gel-like low molecular weight ethylene/alpha-olefin polymer having:
 - a) a number average molecular weight (Mn), as determined by gel permeation chromatography, of less than 15.000;
 - b) a comonomer content of greater than 10 mol percent:
 - c) a total crystallinity, as measured by DSC, of less than 50%;
 - d) a pour point, as measured by ASTM D97, of less than 90°C, and

wherein the polymer is prepared in the presence of a constrained geometry catalyst, of the Formula III:

$$R$$
 $(ER'_2)_m$
 N
 $(X)_n$

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Formula III.

wherein:

M is titanium, zirconium or hafnium, bound in an η^5 bonding mode to the cyclopentadienyl group;

R' each occurrence is independently selected from the group consisting of hydrogen, silyl, alkyl, aryl, and combinations thereof, having up to 10 carbon or silicon atoms;

E is silicon or carbon:

X independently each occurrence is hydride, halo, alkyl, aryl, aryloxy or alkoxy of up to 10 carbons;

m is 1 or 2; and n is 1 or 2 depending on the valence of M.

Please add claims 25-40 as follows:

- 25. The process of Claim 6, wherein the alpha-olefin of the ethylene/alpha-olefin polymer is a C3-C8 alpha-olefin
- 26. The process of Claim 6, wherein the ethylene/alpha-olefin polymer has a glass transition temperature (Tg) less than -30°C.
- The process of Claim 6, wherein the ethylene/alpha-olefin polymer has a percent crystallinity, as measured by DSC, less than 5.
- 28. The process of Claim 6, wherein the ethylene/alpha-olefin polymer has a comonomer content of greater than 30 mol percent.
- The process of Claim 6, wherein the ethylene/alpha-olefin polymer has a number average molecular weight (Mn) less than 11,000.
- 30. The process of Claim 6, wherein the reaction temperature is from 100°C to 150°C.
- 31. The process of Claim 6, wherein the process is a continuous polymerization process.

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- 32. The process of Claim 31, wherein the process is a continuous solution polymerization process.
- 33. The process of Claim 18, wherein the alpha-olefin of the ethylene/alpha-olefin polymer is a C3-C8 alpha-olefin.
- 34. The process of Claim 18, wherein the ethylene/alpha-olefin polymer has a glass transition temperature (Tg) less than -30°C.
- 35. The process of Claim 18, wherein the ethylene/alpha-olefin polymer has a percent crystallinity, as measured by DSC, less than 30%.
- 36. The process of Claim 18, wherein the ethylene/alpha-olefin polymer has a comonomer content of greater than 13 mol percent.
- 37. The process of Claim 18, wherein the ethylene/alpha-olefin polymer has a number average molecular weight (Mn) less than 11,000.
- 38. The process of Claim 18, wherein the reaction temperature is from 100°C to 150°C.
- 39. The process of Claim 18, wherein the process is a continuous polymerization process.
- 40. The process of Claim 39, wherein the process is a continuous solution polymerization process.
- 7. The following is an examiner's statement of reasons for allowance: The prior art of record does not appear to anticipate or render obvious the limitations of independent claims 6 and 18. The prior Office action asserted that the instant claims were unpatentable over Rossi et al (US 5811379) as evidenced by or in view of Tsutsui et al (US 4704491). In the prior Office action, it was stated that, while Rossi et al does not appear to explicitly disclose the constrained geometry catalyst, the reference discloses a catalyst suitable for the polymerization process taught by Stevens et al (US 5132380) [see column 9, lines 13-24 of Rossi et al], wherein the catalyst may be (tert-butylamido) (tetramethyl-ŋ²-cyclopentadienyl)-1,2-ethanediylzirconium dichloride [see column 4, lines 15-20 in Stevens et al, specifically, and column 3, line 27 to column 4, line 30 in general], which rendered obvious the constrained geometry catalyst of the instant application. However, Rossi et al states that Stevens et al only teaches a preferred method of preparation of ionic catalysts using non-coordinating anion complexes (column 9, lines 18-19). Rossi et al indicates

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elsewhere that metallocene catalysts such as those taught by Stevens et al are sensitive to impurities [column 4, lines 5-12 & column 10, lines 57-61] and, therefore, appears to teach away from their use. Another relevant art reference is Naganuma et al (US 6462154), which appears to use similar catalysts [see, e.g., the catalyst of example 5 used in the co-polymerization of ethylene and 1-octene) at similar reaction conditions (including the absence of hydrogen) as disclosed in the instant application [column 12, lines 40-50] with similar solvents [column 12, lines 56-63]. However, the number average molecular weight of the ethylene/alpha olefin polymer may only be as low as 21649 [see example 8 in Table 2-2 wherein 40700/1.88=21649]. There is no teaching that the polymer has a number average molecular weight less than 15,000.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

Any inquiry concerning this communication or earlier communications from the examiner should be directed to BRIAN MCCAIG whose telephone number is (571) 270-5548. The examiner can normally be reached on M-F 8-430.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Glenn

Caldarola can be reached on (571) 272-1444. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

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BAM 2/23/2010 /ROBERT J. HILL, JR/ Primary Examiner, Art Unit 1797